

#### **Welcome & Introductions**





#### **AESQ Supplier Forums**















Typically held twice a year, rotating around North America, Europe and Asia

AESQ Supplier Forums provide an opportunity to;

- Provide updates on the work of the AESQ
- Share best practice
- Provide feedback to the AESQ
- Develop a network of practitioners and Subject Matter Experts

### 2022 AESQ Supplier Forums: Focus on AS13100 Deployment





FOR AERO ENGINE DESIGN AND PRODUCTION ORGANIZATIONS

This standard sets out to create a common set of supplemental requirements with common training and reference manuals to improve understanding, efficiency, and performance, While customers, the primary intent of this new standard is to improve overall product quality by focusing on the key systems and processes currently deterring consistent aerospace engine

These common supplemental requirements aim to raise the bar for anticipated performance in these key areas, and therefore detailed guidance is provided to ensure clarity of expectations.

To assure customer satisfaction, the aviation, space, and defense industry organizations have to produce and continually improve safe, reliable products that equal or exceed customer and regulatory authority requirements. The globalization of the industry and the resulting diversity of regional/national End-product organizations face the challenge of assuring the throughout the world and at all levels within the supply chain. Industry suppliers face the challenge of delivering product to multiple customers having varying quality expectations and



Learn about how SAE AS13100 AESQ Quality Management product quality by focusing on the key quality systems and course, your organization can gain key knowledge about a common quality language, how to gain compliance to AS13100 and the business value and benefit of the standard Walk-through each section of the standard and understand



"Although created by the Aero Engine Supplier Quality Group in conjunction with the SAE G-22 Aero Engine Supplier Quality Standards Committee, this standard and supporting materials will benefit any organisation, in any industry,"

Dr. Ian Riggs

Global Quality Executive Rolls-Royce & AESQ Chair



























### **Agenda**



Торіс	Presenter
Welcome & Introductions	Barbara Negroe, Executive Sourcing Quality Leader, GE Aviation
Rolls-Royce Welcome Address	Candice Bineyard, Director of Programs - Defense, Rolls-Royce
AESQ Overview, Vision & Objectives	Lisa Claveloux, Sr. Director Group Quality, Pratt & Whitney
AS13100 Standard Overview	Larry Bennett, Consulting Engineer, Global Sourcing Quality, GE Aviation
<ul> <li>Deployment Milestones</li> <li>Introduction &amp; Milestones</li> <li>Deployment Survey Results</li> <li>APQP Deployment</li> <li>Implementation Status Reporting in 2023</li> </ul>	Elizabeth Pace, Supplier Quality Strategy, Associate Director, Raytheon Technologies Ricardo Banuelas, Head of Continuous Improvement, Rolls-Royce Jim Wilson, Sr. Manager, Supplier Quality, & Development, Pratt & Whitney Canada
	BREAK – 15 Minutes

### **Agenda**



Topic	Presenter					
AS13100 Implementation Plans + RM13009 Gap Analysis Case Studies	Sean Keane, Director of Engineering, J&L Machine Co. Branden J. Workman, Sr. Quality Aerospace North America, SKF Steve McMulkin, Head of Manufacturing Quality, Parker Meggitt					
Training Overview	<b>Earl Capozzi</b> , Associate Director, Discipline Chief, Quality & Process Engineering/Supplier Quality, Pratt & Whitney					
GF	ROUP PHOTO & LUNCH – 75 MINUTES					
OEM Requirements Session	Larry Bennett, Consulting Engineer, Global Sourcing Quality, Supply Chain Division, GE Aviation Tracey Lockhart, Head of Quality and Continuous Improvement, Defence, Rolls-Royce Jim Wilson, Sr. Manager, Supplier Quality, & Development, Pratt & Whitney Canada Denis Pottier, Head of the Purchasing Quality Assurance Department, Safran Aircraft Engines Catherine Catarina-Graca, Supplier Management System Coordinator, Safran Aircraft Engines					

## **Agenda**



Topic	Presenter
AS13100 FAQ Panel	MODERATOR: Barrie Hicklin, Sr. Director, Quality Systems & Regulatory Compliance, Honeywell Aerospace PANELISTS: Larry Bennett, Consulting Engineer, Global Sourcing Quality, Supply Chain Division, GE Aviation Catherine Catarina-Graca, Supplier Management System Coordinator, Safran Aircraft Engines Jim Wilson, Sr. Manager, Supplier Quality, & Development, Pratt & Whitney Canada Earl Capozzi, Associate Director, Discipline Chief, Quality & Process Engineering/Supplier Quality, Pratt & Whitney
	BREAK – 15 Minutes
Zero Defects Journey	Barrie Hicklin, Sr. Director, Quality Systems & Regulatory Compliance, Honeywell
AESQ How to Get Involved	Helen Djaknegren, Director Supplier Quality & Development, GKN Aerospace
Summary & Close	Barbara Negroe, Executive Sourcing Quality Leader, GE Aviation

# WELCOME



Candice Bineyard
Director of Programs - Defense
Rolls-Royce

# Rolls-Royce Indianapolis: Home to the largest RR Manufacturing site in North America



#### People:

3,300 Employees – over 1,175 Engineers and 1,000 UAW Hourly One of the largest manufacturers in Indianapolis Annual sales \$2.5 Billion

#### **Primary Customers:**

US Navy, Army & Air Force, Lockheed-Martin, Embraer, Cessna, Bell Helicopter and Robinson

#### **Supply Chain:**

Annual spend of over \$1.2 Billion 565 North American suppliers

# **Key Facilities**





- Excellence
- Performance
- Victory





The Meridian Center



Single Crystal Operations



LiftSystem focus factory



Compressor banded stators



Controls test and mfg.
Purdue Technology
Center

#### **Main Product Lines**





### As an industry with **Zero Defects**, we will:



- Save money year on year avoiding unnecessary costs and penalties
- Have stable, capable processes that are predictable

- Have confidence in our ability to deliver products on time and on cost
- Have satisfied customers



# AERO ENGINE SUPPLIER QUALITY GROUP (AESQ) OVERVIEW



LISA CLAVELOUX
SR. DIRECTOR, QUALITY
RAYTHEON TECHNOLOGIES
PRATT & WHITNEY DIVISION

#### Aero Engine Industry- The world ten years ago



- Customers expect Zero Defects
- Airline passengers projected to double in size over the next 20 years
- Increasing level of supplier-made engine content
- Global Supplier Footprint
- Large number of common suppliers between engine manufacturers
- Wide range of Aerospace engine supplier businesses, from <\$1M to >\$2B
- Improving Safety, Quality, Delivery and Cost remained a key challenge

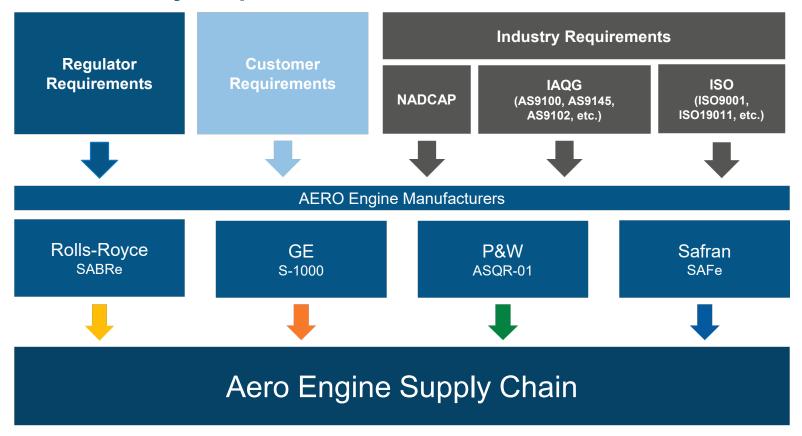
Aero Engine Manufacturers created a Collaboration working group in 2013 to address the challenges with key Global Suppliers

Used the Automotive example of QS-9000 with Ford, GM and Chrysler as the model



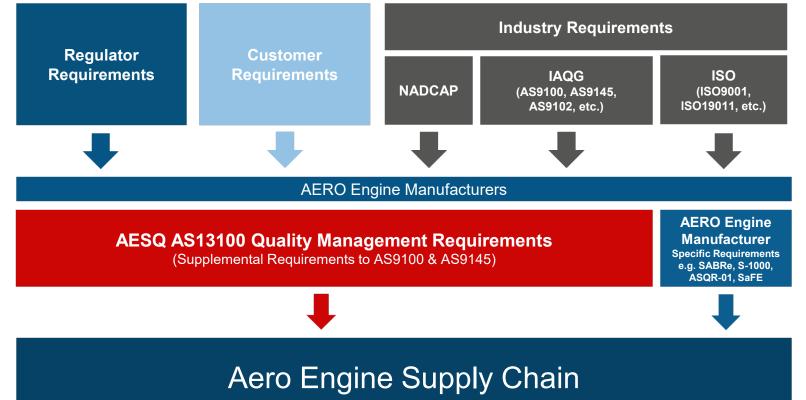
#### **Aero Industry Requirements Flowdown in 2012**





#### **Aero Industry Requirements Current State**





#### **AESQ Vision**





Vision

To establish and maintain a common set of Quality Requirements that enable the

Global Aero Engine Supply Chain

to be truly competitive through lean, capable processes

and a culture of Continuous Improvement

#### **Guiding Principles**







- Simplify and Standardize Aero Engine supplier requirements through the removal of duplication and waste
- Create a common language for Quality
- Build on existing industry standards, where they exist
- Create Requirements that are simple, prescriptive, and auditable
- Deliver results quickly
- Promote the use of standardized 3rd party training
- Focus on effective & supportive deployment

# **AESQ Strategy Group Company Members**























#### **AESQ Members**

Cincinnati Thermal Spray
Consolidated Precision Products
Parker Meggitt
Solar Atmospheres

# **AESQ Strategy Group Members**





Barbara Negroe
Executive Sourcing Quality Leader
GE Aviation



Lisa Claveloux Sr. Director Quality Raytheon Technology Corp.



Helen Djäknegren
Director Supplier Quality
& Development
GKN Aerospace



Uzam Khan Supplier Quality Executive Rolls-Royce



Denis Pottier
Head of Purchasing Quality
Assurance Department
Safran Aircraft Engines



Jun Sakai Chief Engineer IHI Corporation



Barrie Hicklin
Sr. Director, Quality Systems
& Regulatory Compliance
Honeywell



Thomas Frank
Senior VP Corporate Quality
MTU Aero Engines



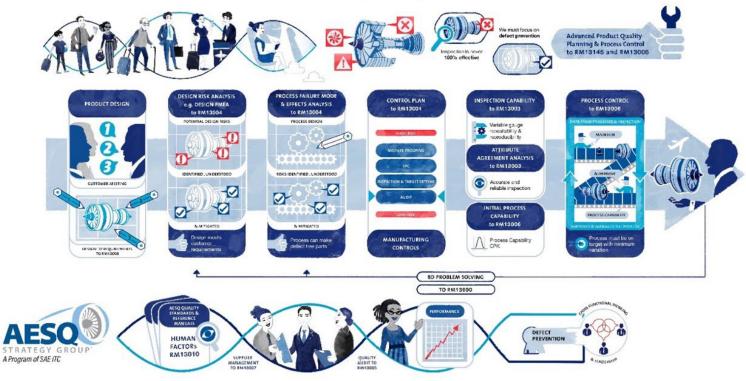
James Clifton
Global Quality Director
Precision Castparts Corp.



Osa Omoruyi VP Quality **Howmet Engine Systems** 

#### **Defect Prevention** Key Quality Tools for Zero Defects





#### **Defect Prevention Tools Must Work as a System**

# AS13100 OVERVIEW STRUCTURE & KEY HIGHLIGHTS

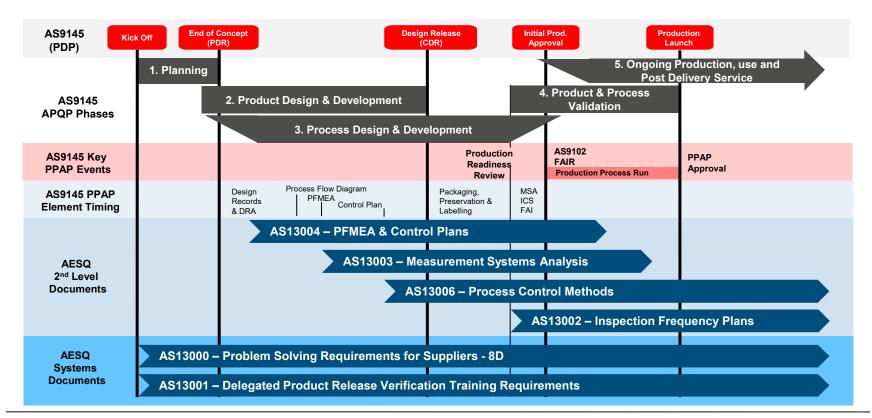


LARRY BENNETT

CONSULTING ENGINEER, GLOBAL SOURCING QUALITY
SUPPLY CHAIN DIVISION
GE AVIATION

## **Product Life Cycle & Current AESQ Document Interaction**





#### **AS13100 Creation Process**

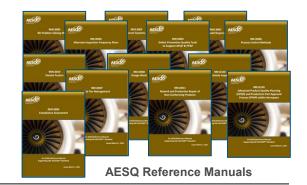






**Future Engine Maker Supplier Requirements** 

Overall Number of Requirements reduced by >50%





**AESQ Standards** 

Supporting Guidance & Best Practice Material

**AS13100 Standard** 

#### **AS13100 Structure**



AS13100 Requirements		AS9	100 R	ev D S		oter A ement		quirem	nents		Chapter B APQP & PPAP AS9145 Supplemental Requirements					Chapter C Defect Prevention Quality Tools to Support APQP & PPAP								
Clause Number	1	2	3	4	5	6	7	8	9	10	1	2	3	4	5	6	DFMEA	Product KCs	Process Flow Diag.	PFMEA	Process KCs	Control Plan	MSA	Process Capability

#### **Example Extract**

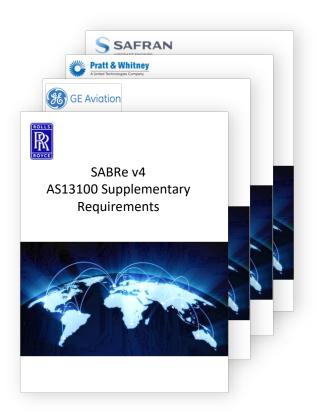
- 9.3 Management Review
- 9.3.1 General Reference 9100D:09/2016 requirements.
- 9.3.2 Reference 9100D:09/2016 requirements.
- 9.3.2.1 Management Review Inputs Supplemental Requirements

Management Reviews shall be conducted at least annually and consider the following performance topics:

- Cost of Poor Quality (COPQ).
- Manufacturing / Assembly Right First Time / First Pass Yield.
- · Customer scorecards (where available).
- · Human Factors reporting.

#### **AS13100 Customer Specific Requirements**





Designed to Include Customer Specific requirements that could not be harmonized within AS13100.

These documents shall:

- Require Compliance to AS13100
- Signpost to Customer Specific Documents (where required)
- Definition of customer specific acceptance thresholds called out in AS13100 e.g., Cpk, GR&R scope, etc.
- Additional Customer Specific requirements not defined within AS13100
- Defines company specific key roles and accountabilities for approvals
- Includes specific IT interface requirements



	ORGANIZATION TYPE											
AS13100 PARAGRAPH REFERENCE	TYPE 1: MAKE TO PRINT	TYPE 2A: DESIGN AND MANUFACTURE	TYPE 2B: DESIGN ONLY	TYPE 3: DISTRIBUTOR	TYPE 4: SPECIAL PROCESS	TYPE 5: RAWMATERIAL						
4.3.1	Х	Х	Х	Х	Х	Х						
4.3.2	Х	X	X									
4.3.3	X	X	X	X	X	X						
4.3.4	X	X	X	Х	X	Х						
4.3.5	X	X	X	X	X	X						
4.4.3	X	X	X	Х	X	X						
5.1.1.1	Х	X	Х	Х	X	Х						
5.2.1.1	Х	Х	х	Х	Х	Х						
5.3.1	Х	X	Х	Х	Х	X						
6.1.3	Х	X	Х	Х	Х	Х						
7.1.3.1	Х	X	Х	Х	X	X						
7.1.5.1.1	Х	Х			Х							
7.1.5.1.2	Х	X			Х							
7.1.5.1.3	Х	X			Х							

**Table 1** provides a guide to the applicability of AS13100 Sections to Organization scope.

#### **Organization Types**

- 1. Type 1: Make to Print
- 2. Type 2A: Design and Manufacture
- 3. Type 2B: Design Only
- 4. Type 3: Distributor
- 5. Type 4: Special Process
- 6. Type 5: Raw Material

#### **AS13100 Benefits**



- Single AESQ Standard aligned to AS9100 / ISO9001
  - Less Requirements for the Supplier (>50% less)
  - Lower cost (suppliers do not need to buy multiple standards)
- 2. Supported by Free Issue Reference Manual Guides
- 3. Will minimise the content of OEM Supplier Requirement Standards (SABRe, S-1000, ASQR-01 and SAFe)
- Creates a common language for Quality, OEMs have adopted standard approaches within their own operations.
- 5. Aligns to relevant existing industry standards (ISO, AS9xxx, Nadcap, etc)
- 6. Supported by global approved training resources
- 7. Enables the AESQ OEMs to provide a harmonised approach to Supplier Development
- 8. Supplier Compliance continues to be assessed through Customer Audit
- 9. Allows AESQ to focus on Supply Chain Capability Development





The current AS13xxx series of standards have been integrated into AS13100;

- AS13000 Problem Solving using 8D
- AS13002 Alternative Inspection Plans
- AS13003 MSA
- AS13004 Process FMEA and Control Plans
- AS13006 Process Control

Free issue Guidance Material will be made available to support the deployment of AS13100.

Also integrates draft standards on Audit (AS13005) and Sub-tier Management (AS13007)

AS13001 DPRV Training will remain unchanged.

AS13100 organizes its additional requirements aligned to AS9100 and AS9145 standard structures.

It also includes requirements to other AS series standards including;

- AS9102 First Article Inspection
- AS9146 FOD
- AS9115 Deliverable Software
- AS9116 Design Change Process
- AS9117 DPRV
- AS5553 Counterfeit Parts (EEE)
- AS6174 Counterfeit Parts





Recognizes NADCAP certification for special processes for both internal and external operations.

(Section 4.3.3)





Organization's are required to include **Human Factors** within the scope of their QMS

(Section 4.4.3, 5.1.1.1, 5.2.1.1 and 7.3.1)



The organization shall conduct a **Compliance Assessment** of their QMS to ensure that it captures all of the requirements of AS13100.

Any gaps must be agreed with the individual customer.

(Section 4.3.5)



An agreed set of **Certification Requirements**, matched to the scope of the supplier's activities is defined

(Section 4.3.3)

Organizations are required to comply with the customer's **Supplier Code of Conduct** and implement their own (Section 5.1.2.1).





# AS13100 requires four **Audit Types** to be conducted;

- Quality Management System Audits
- 2) Production Process Audits
- Product Audits
- 4) Special Process Audits

Organization's to produce an Annual Audit Report to summarize performance for Customer Review (Section 9.2.3)



#### Auditor Competence Requirements defined for;

- Qualifications
- Education
- Experience
- · Ongoing professional development

(Section 7.2.2)



Quality Leaders are required to attend the AESQ Quality Foundation Training Class. Also recommended for other key personnel

(Section 7.2.4)



Organizations are required to provide **On the Job Training** that includes customer requirements, regulatory requirements, etc.

(Section 7.2.1)





Common Record Retention policy for OEMs

(Section 7.5.3.5)



Requirements for **Design & Development** defined including the use of **DFMEA** for Design Risk Analysis

(Section 8.3)







AS13100 defines the requirements for Supplier Evaluation, Selection, Control and **Performance Monitoring.** 

(Section 8.4.1)



Compliance to AS9146 FOD Prevention is required in Design Requirements (8.3.3.3), Production Control (8.5.4.1) and Supplier Control (8.4.2.2)





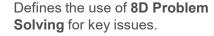


Specifies the use of **AS5553** Counterfeit Electrical, Electronic and Electromechanical Parts and **AS6174** for Counterfeit Material (Section 8.1.4.1 & 8.4.2.3) The organization shall verify that the correct metallic raw material is used e.g. through the use of **hand held spectrometry.** 

(Section 8.5.1.1)







Additional guidance on Problem Solving when 8D's are not required to be included in the Guidance Document GD13000.

(Section 10.2.3)





**Customer Scorecards** 

The organization shall ensure that it uses the customer created scorecard to prioritize improvement actions.

The organization must strive for 100% Quality, & Delivery performance.

(Section 9.1.2.1)

#### **AS13100 Requirement Highlights: Chapter B APQP & PPAP**



# AS9145 APQP & PPAP required to manage;

- New Product Introduction
- Product & Design Changes
- Source Changes
- · Major Quality Issues

# Additional Quality Tools identified that are not in AS9145 APQP / PPAP

- 1. Pre-launch Control Plan
- Supply Chain Risk Management Process



# Additional Quality Tools identified that are not in AS9145 PPAP

- DFMEA defined as the Design Risk Analysis tool
- 2. Defines AESQ Guidance Documents for PPAP elements
- 3. Initial manufacturing Performance Studies
- 4. Dimensional / non-Dimensional Results

#### Defines Submission Requirements for PPAP based on Supplier Performance;

- Submit Warrant only to customer, Retain evidence at Supplier
- Submit PPAP evidence to customer and Retain all documents
- 3. Witness at Supplier

# AS13100 Core Writing Team: Thank you for sticking with it, every Wednesday, for two & a half years, even during the pandemic, to get it published.





**Dr Ian Riggs**Rolls-Royce
Writing Team Leader



Larry Bennett
GE Aviation
Writing Team Deputy Leader



Elizabeth Pace Raytheon



**Earl Capozzi**Pratt & Whitney



**Jim Wilson**Pratt & Whitney Canada



Catherine Catarina-Graca Safran Aircraft Engines



Paula Adkins Rolls-Royce



Peter Amsden
Pratt & Whitney

# Thank you to the 99 Subject Matter Experts who created the Reference Manuals



Aaron Stahl
Adam Rogers
Ake Winkvist
Andrew Stout
Anil Oenuer
Barrie Hicklin
Benoit Gottie
Björkälv Håkan
Brian Murphy
Carrie Sharkey
Catherine Belgacem
Catherine Catarina-Graca

Catherine Catarina-Charles Barry Chip Svoboda Chris Bishop Chris Craig Dave Goldberg Earl Capozzi Ed Briggs Erika Grimm Frederic Vetil Grant Braun Helen Djäknegren

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Lena Wendel Eckerbom

Lise Brox Ludovic Chevet Marc Boursicot Marie Partridge Marnie Ham Mattias Friksson Maura Callahan Melanie Deroo Melanie Renault Michael Cera Michael Cosenza Michael Fuehner Michael Gerhmann Michael Stock Mike Cosenza Nathalie Noblet Nick Watling Nicolas Reignier Olivier Castets Patrice Richen Paul Gorg Paul Hacker

Perr Rendell Pete Bilbie Pete Teti Peter Papadopoulos Phil Bamforth Rebecca Lemon Ricardo Banuelas Rich DeMary Richard Baker Richard Bolingbrook Rob Farndon Robert Starcke Roger Persson Rudi Braunrieder Simon Gough-Rundle Song Gao Stefan Gehring Stefan Lund Steve Christensen Steven Finup

Susie Neal

Sverker Johnson

Thomas Herter
Thomas Schmitt
Tobias Kranz
Todd Angus
Tony Pailing
Vince Miller
Ward Baun
Wilibald Schoder
Wolfgang Wagner
Yvonne Mansson



#### **AS13100 Supporting Reference Manuals**





AS13100 Standard defines mandated requirements.

The Standard is supported by free issue Reference Manuals from the AESQ Website:

→ <a href="https://aesq.sae-itc.com/content/aesq-documents"> https://aesq.sae-itc.com/content/aesq-documents</a>



Reference Manuals provide industry best practice guidance and case study material on how to deploy quality tools effectively.

Reference Manuals are maintained and updated by the **AESQ Subject Matter Interest Groups** and may be updated at any time when new or revised information becomes available

# AERO ENGINE SUPPLIER QUALITY GROUP (AESQ) OVERVIEW

# DEPLOYMENT & TRANSITION TO AS13100



EARL CAPOZZI

DISCIPLINE CHIEF; QUALITY & PROCESS
ENGINEERING / SUPPLIER QUALITY
PRATT WHITNEY

#### TRANSITION TO AS13100 FROM AS130XX



AS9145 – Requirements for Advanced Product Quality
Planning and Production Part Approval Process.

2016 - November

AS13000 – Problem Solving Requirements for Suppliers - 8D 2014 - May

AS13001 – Delegated Product Release Verification Training Requirements 2015- February

AS13002 – Inspection Frequency Plans 2015 - March

AS13003 – Measurement Systems Analysis 2015 - February

AS13004 - PFMEA & Control Plans 2017 - August

AS13006 – Process Control Methods 2018 – September

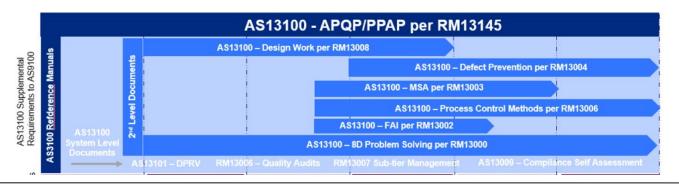


#### TRANSITION TO AS13100 FROM AS130XX



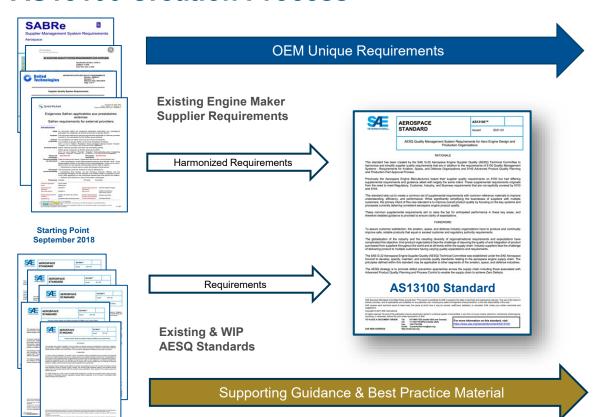
#### With the adoption of AS13100 we:

- Reduced set of requirements from **174** pages to **102 pages** a 49% reduction in pages
- "Shalls" reduced more than 23%
- With the addition of:
  - ✓ Human Factors
  - ✓ Sub-tier Management
  - ✓ Internal Audit and Auditor Competencies
  - ✓ Design and Development
- AS13100 leverages the AESQ developed Reference Manuals (RM13xxx) as guidance on how to comply to requirements stated in AS13100. 603 pages of free guidance.



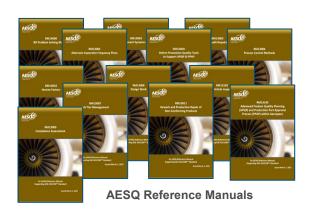
#### **AS13100 Creation Process**







Future Engine Maker Supplier Requirements



#### **Major Changes within AS13100**

Five new key areas within AS13100 to focus Producers



AS13100 leverages the AESQ developed Reference Manuals (RM13xxx) as guidance on how to comply to requirements stated in AS13100.

#### P&W to utilize reference document when educating supply base

- RM13000 Problem Solving Methods (8D)
- RM13002 Alternate Inspection Frequency Plans
- RM13003 Measurement System Analysis
- RM13004 Defect Prevention Quality Tools
- RM13005 Quality Audit Methods
- RM13006 Process Control Methods
- RM13007 Sub Tier Management
- RM13008 Design Work
- RM13009 Compliance Assessment (with Form) -- GAP ASSESSMENT
- RM13010 Human Factors
- RM13011 Rework and Production Repair of Non-Conforming Products
- RM13102 First Article Inspection
- RM13145 Advanced Product Quality Planning (APQP) and Production Part Approval Process (PPAP)









Updates likely required to your QMS





# AS13100 DEPLOYMENT INTRODUCTION & MILESTONES



ELIZABETH PACE
ASSOCIATE DIRECTOR, SUPPLIER QUALITY
RAYTHEON TECHNOLOGIES



JIM WILSON SENIOR MANAGER, SUPPLIER QUALITY ASSURANCE AND DEVELOPMENT PRATT WHITNEY

#### Where are we?











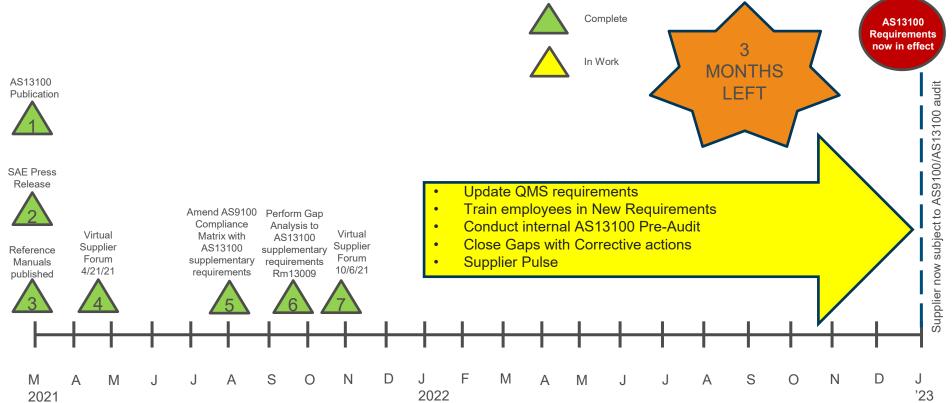
March 2021 AS13100 Publication October 2021
Deployment Started

April 2022 Deployment Ongoing Target: December 31, 2022 - Transition Complete

#### **AESQ Deployment Team Milestone Plan**

Key milestones to achieve compliance to AS13100 by 12/31/2022





#### **Committed to AS13100 compliance on December 31, 2022**























#### **Compliance Expectations**



#### What can I expect on January 1<sup>st</sup>, 2023 when AS13100 becomes contractual?

- AESQ members will be checking compliance with their own suppliers individually
- All suppliers will need to be able to demonstrate compliance to AS13100
  - Best method to do that is to complete the RM13009 Self-Assessment and provide a copy to AESQ customers that request it along with any gaps that were identified and the plan/timetable to close those gaps.
  - Producing an Annual Audit Report outlined in AS13100 Section 9.2.5 and described in RM13005 covering 2022 audits, while not technically required, would also be a good way to demonstrate to all AESQ customers that internal and sub-tier audits are under control
- AESQ members may request to see each supplier's 2023 internal and supplier audit plans meeting the requirements of AS13100
- AESQ members may begin to audit to the requirements of AS13100 in order to confirm compliance with high-risk suppliers

#### **AS13100 Audit Checklist**



The AS13100 Quality audits team is also working on an improved AS13100 Self-Assessment checklist and hopes to have it published by end of October.

#### AS13100 Chapter A Master Audit Checklist

						Compliance Level		Level			Add'I OEM Requirements			
Section	Paragraph	Requirement Description	AS13100 Reference Materials	Auditor Guidance	Organization Internal Document Reference with Objective Evidence of Compliance	Conforming	Not Applicable	Not Evaluated		Opportunities for improvement	RR - SABRe GE - S-1000	P&W - ASQR-01 Safran - SAFe	MTU - MTN 94111	Honeywell
4.3.3	1	Certification requirements - Table 2		Ensure the Organization meets the minimum requirements of Table 2.  Note: individual AESQ members may have differing requirements.					of Only			x		
4.3.4	1	Access to Oasis and Nadcap information				_		1	0					
4.3.5	1-3	Annual AS13100 compliance assessment	RM13009	Look for evidence of a self assessment in the last 12 months and that any gaps have been addressed  The RM13009 self-assessment checklist or a copy of this checklist are preferred but not mandatory.	rkir	Ġ						x		
4.4.3	1	Human Factors included in QMS	RM13010	Human Factors programs at Organizations may not be specifically called Human Factors - look to see if the main contents of a Human Factors program are present at the Organization:  *Training of employees.  *An open reporting culture, encouraging the sharing of mistakes	No.									
5.2.1.1	1	]		without fear of inappropriate retribution.  • Considering Human Factors in investigations.										

#### Goals include:

- Include guidance, where applicable, both to describe objective evidence needed or to guide Auditors in interpreting the section's "shall" consistently across different Auditors/AESQ members
- Include references to RM documents for more information
- Include an indicator that an AESQ member has additional requirements to each question in their own documents

# AESQ 2022 SUPPLIER SURVEY UPDATE



ELIZABETH PACE
ASSOCIATE DIRECTOR, SUPPLIER QUALITY
RAYTHEON TECHNOLOGIES

#### **Survey Overview**



### August 2021: First survey of suppliers on the general knowledge of AS13100 and the AESQ

- 158 respondents
- Familiar with AESQ for existing AS13000 series documents
- Basic AS13100 familiarity

### April 2022: Follow up survey targeted to better understand the aero-engine supply base's AS13100 implementation status

- 13 questions, both objective and open-ended
- 482 respondents to date
- 608 comments and suggestions analyzed

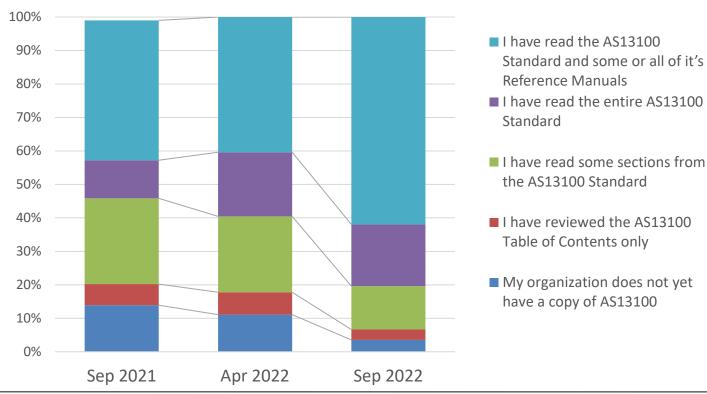
#### September 2022: Survey targeted to develop plans to help suppliers for Q4

- Same questions from April to build trend and collect feedback on deployment
- Develop plans based off the feedback and help suppliers are asking for
- 255 respondents to date

#### **Survey Evolution**



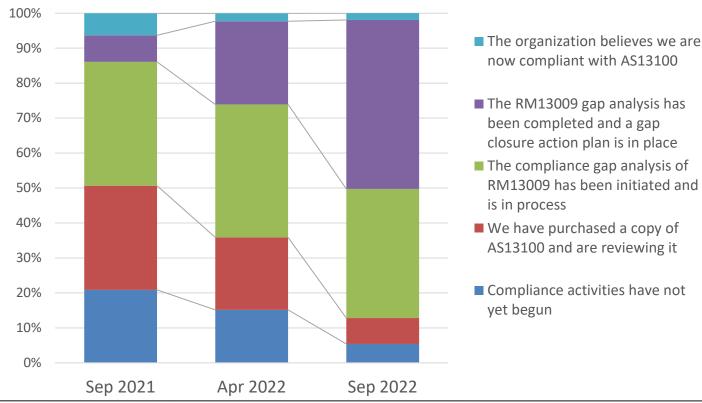
#### AS13100 Familiarity



#### **Survey Evolution**

#### Implementation Status Evolution

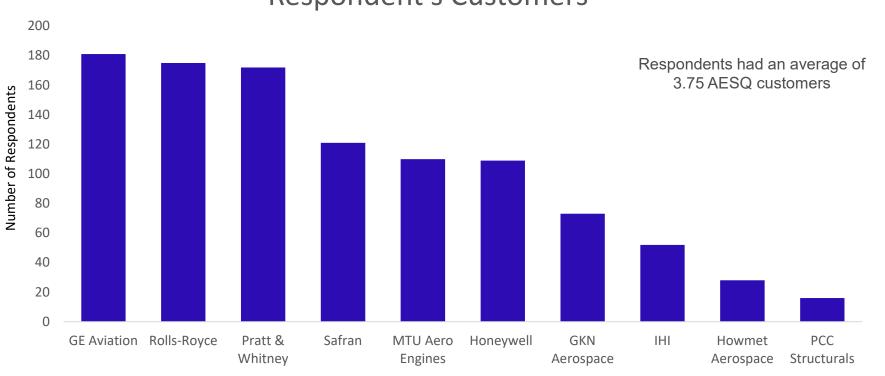




#### Who Responded?

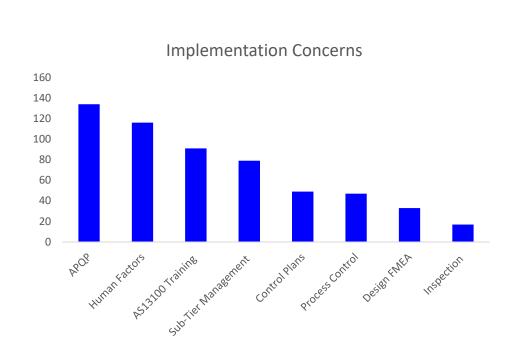


#### Respondent's Customers



#### Resources are available for implementation concerns





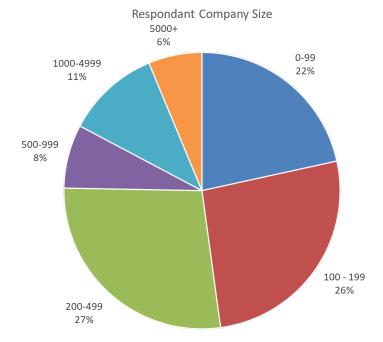


#### Smaller businesses are asking for help in implementation



#### AESQ can help:

- Member companies will partner with their suppliers to close gaps
- Communities of Practice on LinkedIn are available
- Best Practice Examples from three suppliers today



#### Training requirements and how the AESQ can help



#### Intent:

 Company needs to understand the requirements of the standard for deployment

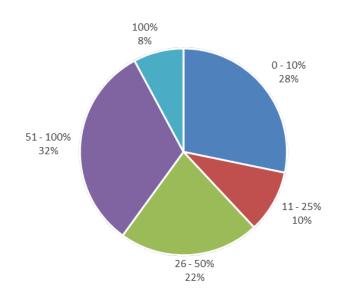
#### **Expectations:**

 People shall complete the 3 Day Foundations training. If not trained by year end, the Foundations training will need to be in the closure plan.

#### How can the AESQ help?

- More Live training sessions (Oct)?
- Options to certify a companies training?
- Auditor training class from AESQ?
- Do we want a COP of deployment to smaller co.?

#### **Training Plan Completion**



#### In response to your feedback....



#### In today's event you will see:

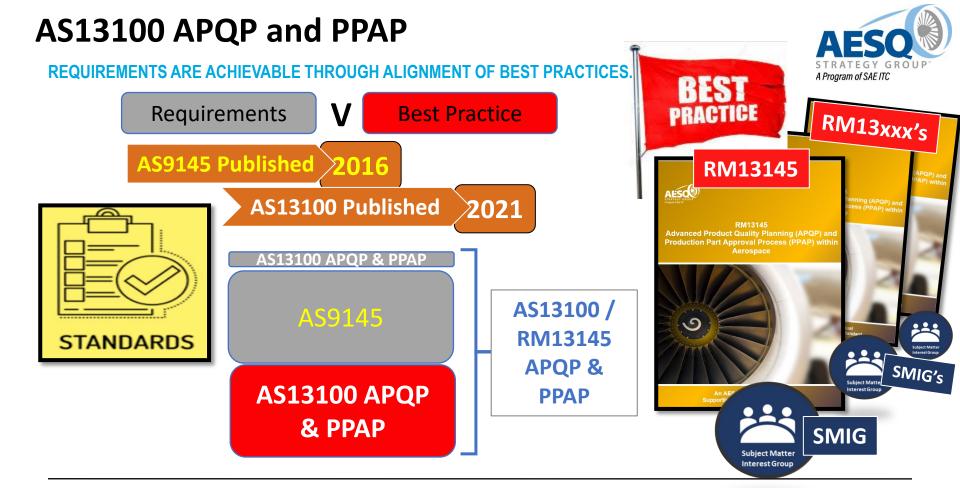
- Training overviews and opportunities
- How to get involved in AESQ
- FAQs and places to ask questions (highlights of the Communities of Practice on LinkedIn)
- Best practice examples from three partner suppliers

### **APQP AND PPAP**

ADVANCE PRODUCT QUALITY PLANNING PRODUCTION PART APPROVAL PROCESS



RICARDO BANUELAS
HEAD OF CONTINUOUS IMPROVEMENT
ROLLS-ROYCE

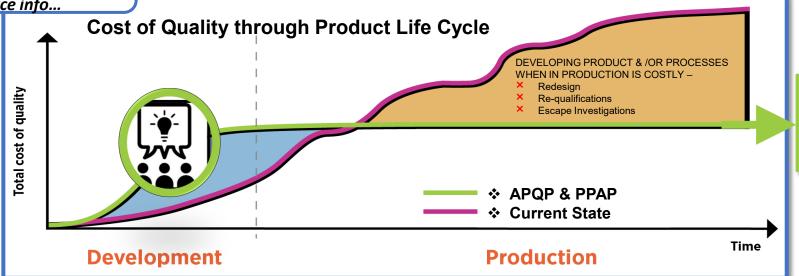


#### Why APQP & PPAP for Aerospace?





The primary objective is to **improve quality and reduce cost. Higher quality** is synonymous with **increased product safety**.





Proactive tools focuses cross-functional teams on risk identification & mitigation early in the process.

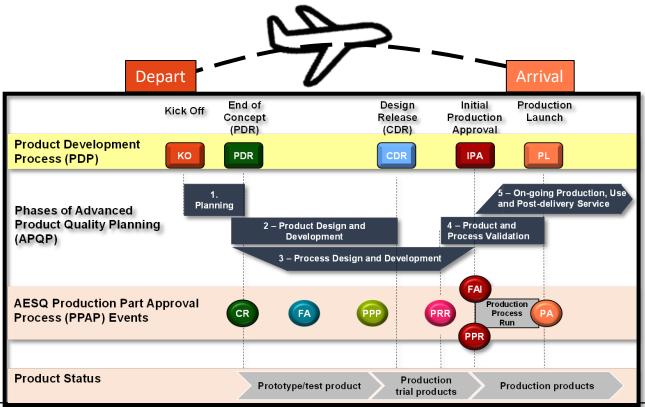


- Products reach faster maturity with fewer engineering changes and defects in the early stages of production & product use.
- Provides a foundation for successful ongoing change management
   design and/or manufacturing change, Works Transfers

#### **View APQP as a Flight**

#### **OUR FLIGHT PATH FOR MANAGING PRODUCT AND / OR PROCESS CHANGE**

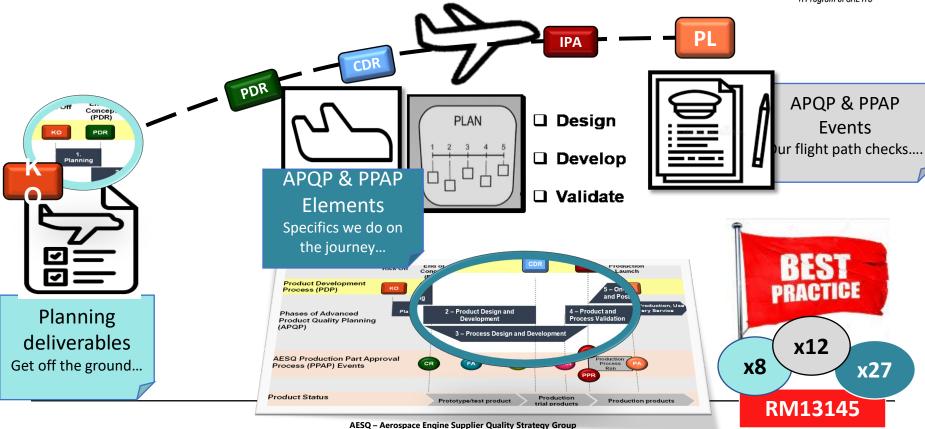




#### The APQP Flight Path







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#### **Leadership Test**

Topic

Question



Referring to Planning deliverables (x8), APQP & PPAP Events (x12) and APQP & PPAP Elements (x27).

Are each and everyone required to be used every time? (NPI, Works transfer, manufacturing changes)

Referring to APQP design, development and validation activities for product & processes.

Should these soley be delivered by one function within your business?

Referring to the use of APQP & PPAP Events – your flight path checks.

Should these be an integrating part of your organisations Project Management & Review structures?







# J&L MACHINE COMPANY AS13100 IMPLEMENTATION STRATEGY



**SEAN KEANE**DIRECTOR OF ENGINEERING
J&L MACHINE COMPANY INC.



# J&L Machine

COMPANY, INC.

50 & 62 Batson Drive Manchester, CT 06042







Sean Keane Director of Engineering J&L Machine Co. Inc.

#### **About Us**

- J&L Machine Co. Inc. is a self-release Tier 1, Type 1
  manufacturer of flight critical and major rotating parts,
  servicing customers in both military and commercial aviation,
  as well as ground power applications.
- Founded in 1979 and located in a recently expanded manufacturing facility in Manchester, CT.
- 58 Employees on 2 shifts
- 52,000 total square foot facility housed with the latest technology for precision machining and inspection.

## Capabilites



CNC Turning Equipment – 26 Total	CNC Milling Equipment – 14 Total
2 × Mori Seiki SL-8 40" swing	1 x CNC Bridgeport
1 × Mori Seiki SL-6 37" swing	1 × Mori Seiki MV-65 5 Axis
1 × Slant 7 36" swing	1 × Mori Seiki MV-55 4 Axis
1 × CNC Bullard	1 × Deckle Maho DMU 125 P 5
12 × Johnford ST 40B 31" swing	1 × Matsura MC-800VGII 5 Axis
4 × Johnford ST 40CX 39" swing	1 × Nigata HN63P 5 Axis
2 X Johnford ST 40	2 x DMG Mori DMU 65
1 x You JI	1 x DMG Mori DMU 85
2 x DMG Mori SL-603/1000	1 x Mori Seiki NV-7000
	2 x Mori Seiki DV-5100
	1 x Mori Seiki MNV-5000
	1 x Haas

### Capabilites



Manual Milling & Turning Equipment	Miscellaneous and Special Process
4 × Bridgeport Model 6-X005	2 × Micromatic 723 Hone Machine
1 × Mazak Engine Lathe 44" Swing	1 x Barnes Hone HV-1000
4 × Mori Seiki MH-1000G 33" Swing	2 × Sutton Barrel CENTRI-FLOW
1 × Mori Seiki MH-850 19" Swing	1 × Schenck Static Balancing Machine
5 × VTL Bullard 44" Thru 56" Swing	1 × JRI Part Wash Machine
1 x VTL Bullard (small)	1 × Zeiss Acura CMM
	3 X Zeiss Contura CMM
	1 x J & L Meteorology Optical Comparator
	3 × 2D Data Matrix Dot Peen Marking
	6 × Trimos Setting Instruments –
	Butterfly polish (manual and auto)
	Assembly









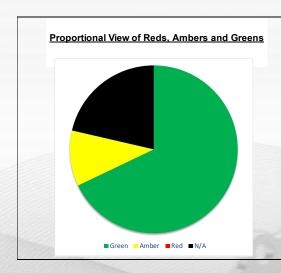
#### AS13100

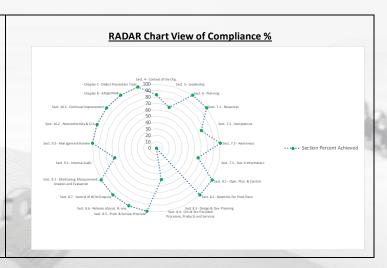
- Systematic way of controlling your process.
- J&L has used AS13100 tools for 18 years as part of our management system
  - Contract Review, identifying risk and mitigating potential issues prior to production
  - PFD, PFMEAs, and Control Plans for all KCs, PPAP, and new product.
  - GR&R for all Key Characteristics
  - Capability analysis for all Key Characteristics
  - Manage your suppliers

## **AS13100 Self Assessment**

#### **Self Assessment Tool Results Summary**

Organization Name:	J&L Machine Co. Inc.	Date:	9/27/2022
Completed By:	Sean Keane	Version:	N/C





## **Planning**

- Contract Review Minimize your risk
  - Engineering, Production, Quality completes the contract review.
  - Consults with machine operators
- Items of risk are discussed during all hands meetings, production meetings, management reviews, and if necessary with the customer.
- Reviewing single points of failure
- Start your PFD and PFMEA

## Support

- Machine Maintenance is vital
  - Onsite maintenance
  - Contracted machine support
- 6S and climate controlled work environment
- Training Skills Matrix
- Include people involved
  - Development of process
  - Improvements
  - Corrective actions
- MSA important to understand the capability of your gages
- Records retention and retrieval must be easily accessible



## **Operations**

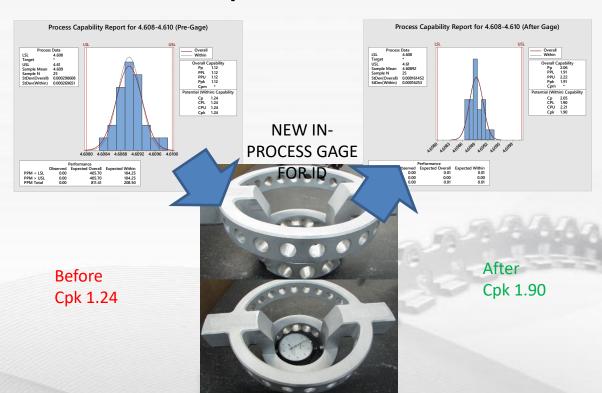
- Keep your product and your employees safe
- Keep a good relationship with your customer and have an open dialogue
- J&L uses NX, Solidworks, Mastercam for developing programs and operation sheets
- NO VERBAL AGREEMENTS OR WORK INSTRUCTIONS

## **Improvement**

Tools to help with 8D (Root Cause and Corrective Action) Process

- PPAP (Production Part Approval Process)
- Six Sigma
- Lean
- 6S (Sustain, Standardize...)
- VSM (Value Stream Mapping)
- TPM (Total Preventive Maintenance)
- Six Sigma, SPC (statistical process control) and Process Certification
- Capacity Analysis

## **Improvement**



## **Results**

# **SATISFIED CUSTOMER**

## **Results**

- 98% On-time deliveries for the last 6 months
- 86% Compliant per AS13100 Checklist, gaps are mostly procedure updates.
- 0 Escapes for the 12 months
- 2 QNs for the last 12 months
- 30 Full PPAP Approvals

# SKF AS13100 IMPLEMENTATION STRATEGY



BRANDEN J. WORKMAN
SENIOR QUALITY ASSURANCE NORTH AMERICA
SKF AEROSPACE



# SKF solutions for airframe, helicopter, engine and gearbox applications

#### Aeroengine bearings

- Custom-engineered solutions for aircraft powerplants and transmission equipment
- Ball bearings, cylindrical, taper and spherical roller bearings and thin section bearings – from 8 mm i.d to 800 mm o.d.
- . Thin section bearings from 19 mm to 1 016 mm i.d.
- Hybrid bearings (ceramic rolling elements), integrated bearing assemblies
- · Specialty balls steel and ceramic



#### Airframe bearings

- · Custom-engineered solutions for "integrated bearings"
- Wide range of solutions for airframe bearings such as plain, ball and roller bearings





#### Airframe units

- Wide range of airframe units based on composite technology and bearing integrated solutions
- Integrated and concurrently engineered solutions of bearings and composite solutions

#### Airframe composite rods

Composite rods for structural and motion control applications



#### Elastomeric solutions

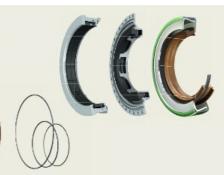
- · Bearings, isolators and dampers
- Main and tail rotor bearings, driveline gimbals, gearbox isolators and more



#### Sealing solutions

- Main-shaft bearing compartment seals including carbon face seals and carbon circumferential seals
- Metallic and non-metallic sealing rings, bearing clamper rings
- Radial lip shaft seals: gearbox, transmission, engine, oil and grease seals
- Aerospace gaskets and boots
- · Linear motion/fluid power seals







#### AS13100 Implementation Strategy - General

SKF Aerospace is comprised of six North American and three European sites that support the AESQ community

The nine sites manufacture five distinctly different product types; each site / product line has its own unique challenges to implement

Similar to any project of this size developing a robust plan is paramount

Our approach was to perform the gap analysis at the 'corporate level' first; and each individual site level second

RM13009 self assessment tool was the roadmap used for this plan development

The RM13009 self assessment is a starting point; modify, or adjust, the template as needed to suite your business



What worked for SKF was to:

- Add a row for each specific requirement; not just the high-level clause
- Add a row for each specific customer specific requirement (SABRe4, ASQR-01, etc)
- Add columns for who owns each specific review step, what document(s)
  contain or will contain the requirement, and determining if each specific clause
  will be incorporated as part of the entire QMS or just AESQ applicability

Clause	Clause Title	Organization Process Reference (or comment)	Compliance Status	Currently in Aero Qd (Y/N/NA)	Incorporate into Aero Qd or supplement (Standard/Supplement/NA)	Comments	Review Assigned To
5.3.1	Organizational Roles, Responsibilities and Authorities - Supplemental Requirements	G0100	N/A				
	Personnel responsible for conformity to product requirements <b>shall</b> have the authority to stop and correct quality <b>problems</b> .	G0100	Α	Υ	Standard	G0100 to be updated to clearly mention the regmts	Edmond
	Where the process design prevents immediate shutdown upon detection of a quality problem, then the product or service <b>shall</b> be contained so that it is prevented from being delivered to the customer.	P0446	G	N	Standard	§ 4.1 covers this requirement	Edmond
	Where production is run across different shifts there <b>shall</b> be personnel identified that are accountable for ensuring conformity to product requirements.	G0100	А	Y	Standard	G0100 to be updated to clearly mention the reqmts	Edmond



For SKF Aerospace Chapter A [AS13100 + specific customer requirements] has 428 applicable requirements

The first hurdle is getting past the 'sticker shock'

A thorough review revealed many of the requirements were already fully, or partially, built into our QMS

The number of gaps identified was alarming, but what was more concerning was the magnitude of some of the gaps



The second hurdle is developing the plan to address the large magnitude gaps; with initial focus on the gaps outside of Quality's historical influence, or control (i.e., Top Management, Supply Chain, Design, Human Resources)

Quality Assurance was responsible to ensure each area understood what exactly their required improvements were

Next, each responsible area had to create their action plan to comply; Quality Assurance had to assist with this plan development

Not every requirement is created equal – prioritization is critical – each plan has to consider effort to achieve compliance versus the value

At this point, a complete Compliance Plan can be created



To accelerate the change focus started on the low effort – high value improvements

These improvements typically involved standardization amongst the different sites or departments – eliminating redundancy [ex. standardizing human factor incorporation, defining audit types and applicable template, and utilizing applicable Reference Manual forms]

https://aesq.sae-itc.com/supplemental-material

Reference Manual	Associated Forms	
RM13000	Problem Solving Methods Including 8D	
	<ul> <li>8D Interactive Tool (PowerPoint)</li> </ul>	
	<ul> <li>8D Reporting Template (Power Point)</li> </ul>	
	8D Word Form (Word)	
	8D Template (Excel)	
	8D Template (PowerPoint)	
RM13002	Alternate Inspection Frequency Plans	
	Alternate Inspection Frequency Plan Worksheet (Excel)	
RM13003	Measurement Systems Analysis	
RM13004	Defect Prevention Quality Tools	
	<ul> <li>RM13004 Template and Examples (Excel)</li> </ul>	
	DFMEA Template (Excel)	
RM13005	Quality Audit Methods	
	Appendix B Risk Assessment (Excel)	
	<ul> <li>Appendix C Quality System Audit (Word)</li> </ul>	
	<ul> <li>Appendix D Production Process Audit (Excel)</li> </ul>	
	<ul> <li>Appendix E Management Review (PowerPoint)</li> </ul>	
	Appendix F Annual Audit Report (Excel)	
RM13006	Process Control Methods	
	<ul> <li>Appendix A Assessment Checklist (Excel)</li> </ul>	
	<ul> <li>Appendix B Process Capability Plan (Excel)</li> </ul>	
	Appendix C Training Syllabus (Word)	
RM13007	Sub Tier Management	
RM13008	Design Work	
RM13009	Compliance Assessment	
	Compliance Assessment Workbook (Excel)	
RM13010	Human Factors	
	<ul> <li>Appendix 2 Investigation Human Factor Checklist (pdf)</li> </ul>	



High effort – high value improvements are what will drive the Zero Defect approach – proactive tools such as MSA, Cpk, FMEAs all support this effort

Focus on part number specific actions, for the proactive tools, versus part family will greatly impact resources, but should hopefully provide long term value

These activities must be viewed as a marathon and not a sprint



Implementation effort has encountered many struggles along the way

- Supply Chain understanding / acceptance
- Attempts to justify implementation cost versus return on investment
- Need to develop different solution for the same requirement across different sites / different product lines
- Intermittent demand and low quantity part numbers



### **AS13100** Implementation Strategy

You are not alone!

No one individual, no one site, no one company has the perfect approach

Continue to review the AESQ Supplier Forum materials, attend your Prime customer's periodic webinars, contact your colleagues within the industry, etc.

Collaboration is key



# PARKER MEGGITT AS13100 IMPLEMENTATION STRATEGY



STEVE MCMULKIN
HEAD OF MANUFACTURING QUALITY
PARKER MEGGITT

# **AS13100 Deployment Strategy**

AS13100 – Parker Meggitt Group implementation



ENGINEERING YOUR SUCCESS.

# **Agenda**

- Parker Meggitt site coverage
- Timeline
- Milestone Status by Site
- Cumulative performance
- % Compliance by sites
- Combined compliance
- Key Risks / Gaps
- Training
- Summary
- Next steps



# Parker - Meggitt Sites

#### Site

Ansty Park

Cincinatti

Erlanger

Fareham

Fribourg

Loughborough

Irvine

North Hollywood

Oregon

**Portland** 

Saltillo

San Diego E&E

San Diego ES

Simi Valley

Troy

Vietnam

Xiaman

#### **Quality Rep**

Pepe Elsworth

Jim Morano

**Eric Carter** 

Tom Williams

Stéphane Marchetti

Les Elphee

Cynthia Melchior

Ramon Williams

Jeff Bryson

Justin Hackett

Daniel Mendoza

Chris Harris

**Emmanuel DeBrand** 

Greg Lewin

Sandy Hendrickson

Thao Nguyen

Amanda Wang















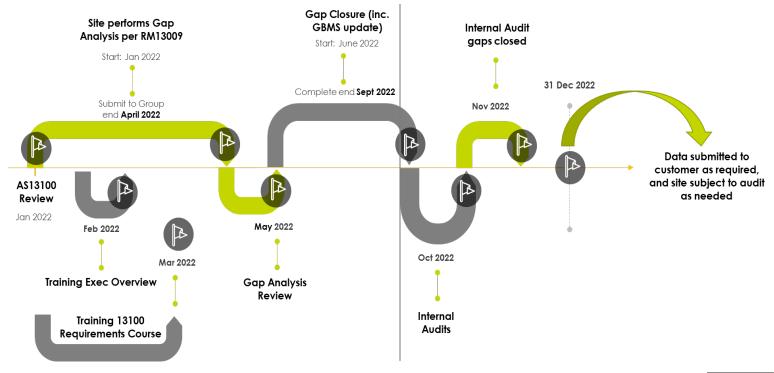






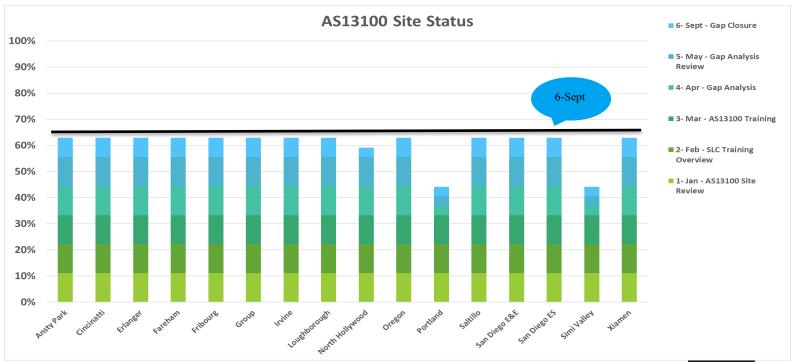


# Parker - Meggitt AS13100 Timeline



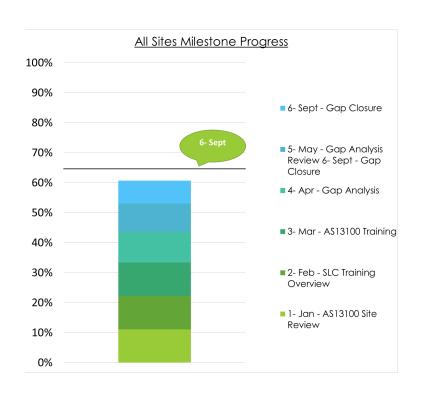


# Milestone Status By Site





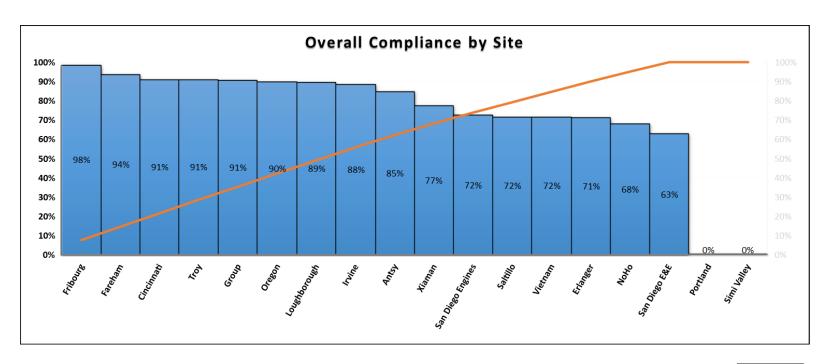
## **Cumulative Performance**



- All sites are at 61% to the milestones, should be at 66%
- 2 sites currently have not submitted their gap analysis bringing the % down
- Documents recently released at the Group level are driving training and communication and will close quite a few gaps



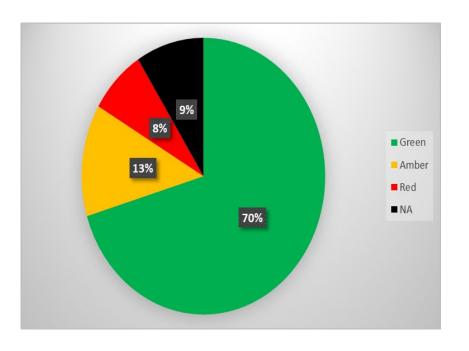
## % Compliance by Site submissions (RM13009)

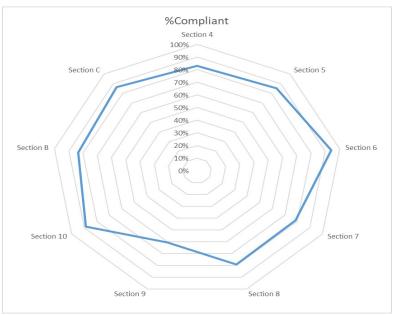




## **Combined AS13100 compliance**

#### **Overall Compliance All Sites**







# **Key Risks/Gaps**

Section	Description	Action	
4.4.3	Quality Management System and its processes - Supplemental Processes: Human Factors	Release of MQA-1 Quality Manual	
5.2.1.1	Establishing the QP - Human Factors	Release of MQA-33 Human Factors	
7.1.5.1.1	MSA	MSA not currently being performed on quite a few sites, retrain o	
7.1.5.1.2	Conduct MSA		
7.1.5.1.3	Confirm Acceptance of MSA	material	
7.1.5.1.4	Agree Improvement Actions -MSA		
7.2.4	AESQ Quality Foundation Training	Parker Meggitt 3 - Day Foundations Course Being Launched	
7.3.1	Human Factors Awareness	MTR-31 Human Factors Training Underway	
7.5.3.4	Damage to Records - Inform Customer	MQA-20 Updated	
8.5.1.2.1	Validation and Control of Special processes - Supplemental Requirements	Sampling of NDT - MQA-31 Inspection Under Revision	
9.2.5	Annual Audit Report	Being Conducted Monthly - Sites Need Rolled up Performance	
9.3.2.1	Management Review Inputs - Supplemental Requirements	Human Factors To Be Considered - no current metric	
19.1	Pre-Launch Control Plan	To Be Instituted On Next New Project/Design	



# **Training**

- Level one SAE Executive overview, completed by all applicable sites
- Level two SAE AS13100 requirements course (10 hours approx.), completed by all applicable sites
- Level three SAE 3 day Quality foundations course, Completed by Group Head of Manufacturing Quality
  - Parker Meggitt will deliver equivalent 3 day quality foundations course for applicable sites (Pilot course TBC for end of Nov 2022 @ Ansty Park)
  - Parker Meggitt has its own Learning academy and all required training is available. Approx. 800 Meggitt engineering professionals have been trained this year in all 14 foundation course modules



## Parker- Meggitt AS13100 Summary

- Monthly site leadership review ongoing
- Monthly Group review with sites is ongoing
- GE AS13100 Quarterly reviews
- Milestone Tracker reported monthly
- Gap closure is aided by GBMS updates



# **Next steps**

- Group Quality to Continue to work with sites to mitigate risks & close Gaps
- Complete Gap assessment audits
- Deliver pilot AESQ equivalent 3 day foundations course to all applicable sites
- Share best practices /lessons learned with all applicable sites



## TRAINING OVERVIEW



EARL CAPOZZI
ASSOCIATE DIRECTOR, DISCIPLINE CHIEF
QUALITY & PROCESS ENGINEERING / SUPPLIER QUALITY
PRATT & WHITNEY

#### **Executive Overview**





#### **Training Program Goals**





Support deployment and adoption of AS13100



Knowledge to design, maintain & assess business processes to meet intent of standard



Focus on key concepts, impact to compliance and customer requirements and benefits to business performance



Simplify and clarify the requirements with a standardized training approach

#### **AS13100 TRAINING SUMMARY**

#### 7.2.4 AS13100 Requirements Training and AESQ Quality Foundations Training - Supplemental Requirements

The organization shall ensure that Quality Leaders with responsibility for deploying the requirements of AS13100 within the organization are trained in the requirements of AS13100 and related Quality Management Standards through an AESQ approved AS13100 Requirements training course. This course is also recommended for functional leaders responsible for creating or managing processes that are impacted by AS13100 Requirements.

In addition, the organization's Quality Leaders with responsibility for supporting the design, manufacturing, and assembly operations via AS13100 shall undergo training in the AESQ Quality Foundations Training course. This course is also recommended for design engineering, manufacturing engineering and operations roles.

Equivalent training that meets the AESQ AS13100 Requirements and Quality Foundations course syllabi shall be approved by the AESQ.

# **Required Training**



#### Delegated Product Release Verification (DPRV)

DPRV personnel shall be trained and certified in accordance with AS13001 Delegated Product Release Verification Training Requirements

(7.2.3) Requirement since 2015

# AESQ AS13100 Quality Requirements Course

The organization shall ensure that Quality Leaders with responsibility for deploying the requirements of AS13100 within the organization are trained in the requirements of AS13100 and related Quality Mgmt. Standards through an AESQ approved AS13100 Requirements training course.

(7.2.4) Requirement since 2021

# AESQ Quality Foundations Course

The organization's Quality Leaders with responsibility for supporting the design, manufacturing, and assembly operations via AS13100 shall undergo training in the **AESQ Quality Foundations** Training course.

(7.2.4) Requirement since 2022

#### **DPRV AS13001 Revision A**





Certified by Probitas Authentication<sup>™</sup> an independent third party. They track attendees and maintain everyone's ongoing credentials and record against the requirements.

In the aerospace industry, the Delegated Release Process Verification process establishes a uniform set of requirements by which a supplier may be granted authority to ship product. This removes or minimizes source and/or receiving inspection by the delegating organization, or their third-party representatives.

Successful completion of this course satisfies the respective customer training requirement for initial self-release delegate qualification.





#### **SAE AS13100 Executive Overview Videos**



This exciting new standard creates a common language for quality throughout the supply chain.

Watch this free video series with executive perspectives from across the industry and how compliance is critical to your company's success:

- 1. The Aerospace Industry
- 2. Formation of AESQ
- 3. The Need for AS13100
- 4. Overview of AS13100
- 5. Summary





#### **AS13100 Webinars**



On ongoing series of short videos: Live and On Demand

Executive perspectives from across the industry detailing how AS13100 compliance will affect these topics:



AS13100 APQP and PPAP for Supply Chain To RM13145

AS13100 Design FMEA to RM13004 AS13100 What Makes a Good 8D? RM13000 AS13100 First Article Inspection (FAI) to RM13102



# AS13100 REQUIREMENTS COURSE OVERVIEW

# **SAE AS13100 Requirements Course Overview**



- Required for Quality Leaders with responsibility for deploying the requirements of AS13100.
- ✓ Recommended for functional leaders responsible for creating or managing processes that are impacted by AS13100.





# **SAE AS13100 Quality Requirements Course**



#### Is this On Demand Course for You?

- ✓ Individuals accountable for defining the organization's processes or developing its quality management system to meet customer, regulatory, and industry requirements.
- ✓ Quality Leaders and those leaders from other functional areas:

Design Business Program Management Engineering

Manufacturing Auditors Operations Purchasing



# **SAE AS13100 Quality Requirements Course**



This course is On Demand, and includes 10 modules aligned to the AS13100 Standard:

- Introduction to AS13100 (Intro to Section 3)
- Chapter A: 9100 Quality Management System Requirements for Aviation, Space and Defense Organizations – AESQ Supplemental Requirements
- Chapter B: AS9145 Advanced Product Quality Placement (APQP) and Production Part Approval Process (PPAP) – AESQ Supplemental Requirements
- Chapter C: Core Defect Prevention Quality Tools to Support APQP and PPAP Supplemental Requirements

# QUALITY FOUNDATIONS COURSE OVERVIEW



### **SAE AS13100 Quality Foundations Course Overview**



- Required for Quality Practitioners with accountability for deploying the requirements of AS13100.
- ✓ Recommended for functional practitioners responsible for creating, managing or deploying processes that are impacted by AS13100.



**Exception** for GE Suppliers who have prior attendance in Supplier Orientation or QF204/GE Aviation Supplier Training.



### **SAE AS13100 Quality Foundations Course Overview**



- This three-day course is offered either online, or onground.
- Key quality systems, processes and methodologies to show how they work as part of a system focused on defect prevention.
- Supports quality professionals, at all levels in the organization, to understand how these tools and processes work and what are the characteristics of successful deployment.
- Recommended for functions with accountability for the quality of the design, production, assembly and test areas of the organization.





# **SAE AS13100 Quality Foundations Course**



#### Is this Course for You?

- ✓ Individuals operationalizing the organization's processes and deploying its quality management system to meet customer, regulatory, and industry requirements.
- ✓ Quality practitioners and those from other functional areas:

Design

**Business** 

Program Management

Engineering

Manufacturing

**Auditors** 

**Operations** 

Purchasing

The intent is, at a minimum, site quality leaders will attend training.

# AS13100 LEAD AUDITOR AND INTERNAL AUDITOR TRAINING



JIM WILSON
SENIOR MANAGER, SUPPLIER QUALITY
ASSURANCE AND DEVELOPMENT
PRATT WHITNEY

#### **AS13100 Internal and Supplier Audit Requirements**



#### Background

- Prior to AS13100, Organizations were mostly left to their own to design and execute internal audit and supplier surveillance audit plans
  - Largely followed the AS9100 (or other certification) audit trail
  - Some incorporation and flowdown of customer-required audits
  - Basic sub-tier surveillance and varying greatly between suppliers

#### AS13100 Audit Types

- Four distinct internal and supplier audit types are identified in AS13100:
  - Quality System audits
  - Production Process audits
  - Product audits
  - Special Process audits

#### **AS13100 Auditor Training Requirements**



#### Background

- At the same time as identifying the four audit types, the AESQ has the goal of improving the quality of internal and supplier Auditor qualification and training
- Minimum Auditor qualifications were set for:
  - Initial training (table 5)
  - Overall experience (table 6)
  - Maintaining qualification (table 7)

#### **AS13100 Auditor Training Requirements**



#### RM13005 improvements under review

- Correcting significant grammar, punctuation, and spelling issues
- Improving the interpretation of the Lead Auditor and Internal Auditor training requirements
- Examining the expectation by the AESQ members for what activities Lead Auditors are responsible for
- Reviewing the ongoing Auditor certification requirements for Special Process Auditors (e.g. # audits per year)
- Addressing requirements for suppliers not certified to AS9100

# OEM REQUIREMENTS SESSION



Larry Bennett GE Aviation



Tracey Lockhart Rolls-Royce



Jim Wilson Pratt & Whitney Canada



Denis Pottier Safran Aircraft Engines



Catherine Catarina Safran Aircraft Engines

# **GE AVIATION**



LARRY BENNETT

CONSULTING ENGINEER, GLOBAL SOURCING QUALITY
SUPPLY CHAIN DIVISION
GE AVIATION

#### **AS13100 Customer Specific Requirements – GE Unique**





AS13100 Supplementary Requirements & Interface Document



Intro

GE S-Specs – Quality Requirements – Special Processes

Section 4

Priority parts review

Affiliate requirements

Section 8

Order of precedence

Change in design – electronic application

Source Problem Reports

Purchased raw material – testing requirements

Fastener supplier requirements

#### **AS13100 Customer Specific Requirements – GE Unique**





AS13100 Supplementary Requirements & Interface Document



Section 8 (Continued)

APQP – Applicability based on manufacturing complexity/risks

Serialization – numbering

Hardware Release – DSQR

Electronic nonconforming material process

Section 9

Alternate inspection – electronic application

**Product Audit requirements** 

Section 16

FAI per S-1002

PPAP – submission based on manufacturing complexity/risk

Section 17

PPAP submission- electronic application/process

# **ROLLS-ROYCE**



Tracey Lockhart
Head of Quality and Continuous
Improvement, Defence
Rolls-Royce

#### AS13100 Customer-Specific Requirements; Rolls-Royce



#### SABRe 3; Full compliance to all previous requirements



#### Section 4.3 Determining the Scope of the Quality Management System

New supplier approval type and AS13100 compliance

 Acceptable Compliance by End 2022; AS13100 Self Assessment and a Plan to close the gaps to the agreed timeframe in place

#### Section 6 Actions to Address Risks and Opportunities

Comply with the Rolls-Royce Supplier Enhanced Cyber Security Standard

#### Section 8.1.3 Product Safety

Conduct training every 4 years on product safety supported by Product Safety Awareness Briefing pack developed by Rolls-Royce

#### Section 9.1.1.1 Monitoring and Measurement of the Manufacturing Process

Achieve the Process Minimum Standards using the Benchmarking Assessment Tool for applicable processes

• Acceptable Compliance by End 2022; Required process minimum standard agreed with RR and a plan in place to complete the assessments by end of 2023

#### **Section 10.3 Continual Improvement**

Demonstrate a commitment to zero defects by establishing the appropriate improvement plans and programmes

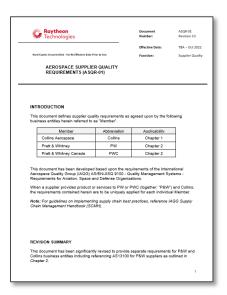
# **PRATT & WHITNEY**



Jim Wilson Sr. Manager, Supplier Quality, & Development Pratt & Whitney Canada

#### AS13100 Customer-Specific Requirements – P&W





ASQR-01



#### Intro

Clarification of AS13100 and the RM's

#### Section 4

60 days to incorporate new requirements

Deliverable software to ASQR-07.5 (and non-deliverable in Section 8)

Multiple additions to QMS Certification Requirements Table 2

#### Section 7

Significant-Out-Of-Tolerance on M&TE equipment

Table 4: MSA Acceptance Limits – new Gage R&R acceptance levels

P&W DPRV program requirements

Retention period starting date

Retention on radiographs of non-serialized parts

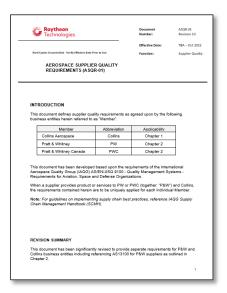
#### Section 8

Critical parts per ASQR-09.1

P&W-specific forms to communicate

#### **AS13100 Customer Specific Requirements – P&W**





ASQR-01



Section 8 (cont.)

Handheld spectrometry only on request

Operator self-verification programs needing P&W approval

Section 9

Sampling to ASQR-20.1 and alternate inspection approvals

Product and Production Process Audits included in risk analyses only

Section 10

Verification of corrective actions – 3 manufactured lots

Temporary Key Characteristics

Section 17

PPAP submission- submission, approval, deferral, and element contents

Section 18

Not applicable to P&W

Section 21

Initial Process Capacity studies requirements

# SAFRAN AIRCRAFT ENGINES



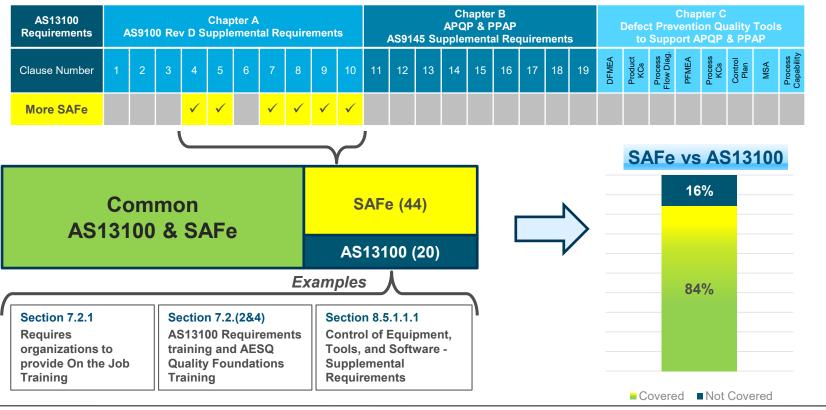
Denis POTTIER
Head of the Purchasing Quality
Assurance Department
Safran Aircraft Engines



Catherine CATARINA
Supplier Management
System Coordinator
Safran Aircraft Engines

## **Gaps Analysis AS13100 vs SAFe**





# More SAFe versus AS13100: Some examples



#### **Chap. 5 Corporate Social Responsibility**



#### **Chap.7 Regulatory watch process**







#### Chap.8 Obsolescence



#### **Chap.10 Scrap rate**



# **AS13100 FAQ PANEL SESSION**



Barrie Hicklin Honeywell



Larry Bennett GE Aviation



Earl Capozzi Pratt & Whitney



Catherine Catarina Safran Aircraft Engines

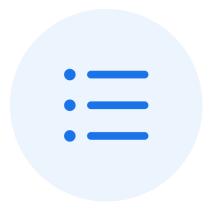


Ricardo Banuelas Rolls-Royce

# ZERO DEFECTS JOURNEY



Barrie Hicklin SR. DIRECTOR, QUALITY SYSTEMS & REGULATORY COMPLIANCE HONEYWELL AEROSPACE



# Does your company formally recognize Zero Defects as a goal?

(i) Start presenting to display the poll results on this slide.



# How would you assess your capability to assess a programme of Zero Defects?

i Start presenting to display the poll results on this slide.



# What do you see as your greatest barrier?

i Start presenting to display the poll results on this slide.



# What would help you most?

i Start presenting to display the poll results on this slide.

# AESQ HOW TO GET INVOLVED



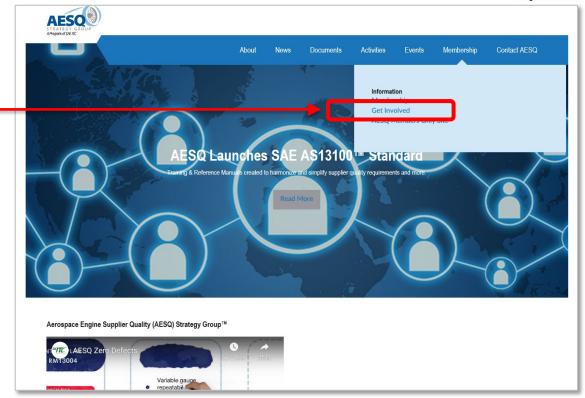
**HELEN DJAKNEGREN**DIRECTOR SUPPLIER QUALITY & DEVELOPMENT GKN AEROSPACE

#### "Get Involved" with AESQ



Go to AESQ Homepage https://aesq.sae-itc.com/

Click "Get Involved"

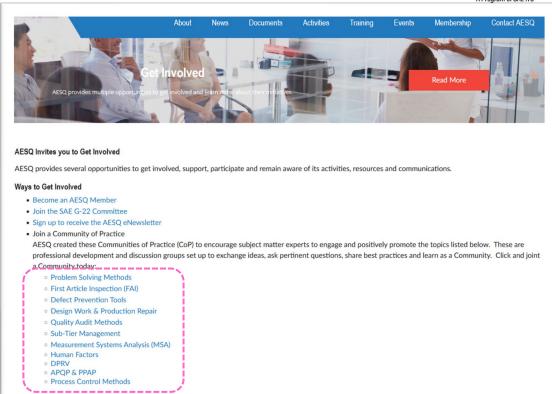


## "Get Involved" Options

AESQ STRATEGY GROUP

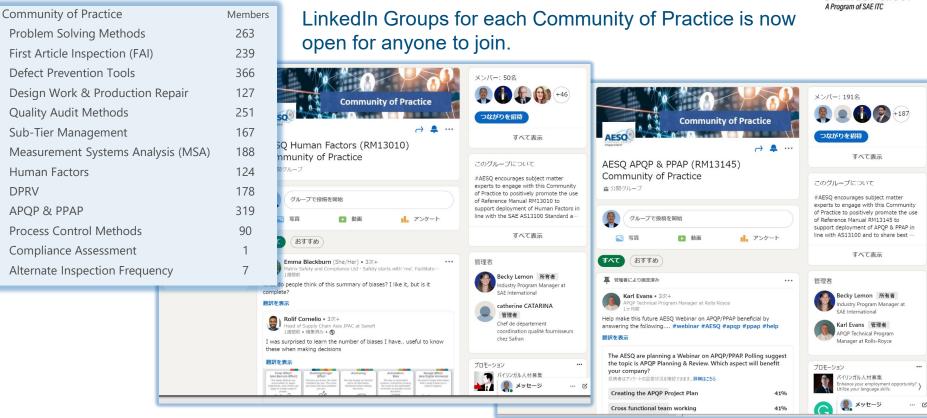
- Sign up to receive AESQ eNewsletter
- 2. Become an AESQ Member
- 3. Join the SAE G-22 Committee
- 4. Join a Community of Practice on LinkedIn

Click on the appropriate link for additional information



### "Get Involved" – Join a Community of Practice





# "Get Involved" – Sign up to Receive AESQ's eNewsletter



- Issued monthly
- Learn about AESQ's current activities
- Complete online form to begin receiving



#### "Get Involved" - Become an AESQ Member



#### 2 Membership Levels:

**AESQ Strategy Group Member** – specified in the AESQ Charter due to their critical support resulting in the establishment of the AESQ Strategy Group.

#### **AESQ Member –**

- Open to organizations engaged in the Aero Engine supply chain.
- Required to participate in the work of AESQ by providing resources to support AESQ working groups.
- Representatives shall be senior leaders from the organization or subject matter experts in a relevant area.



#### **Membership Overview**

AESQ welcomes new members. AESQ membership is open to organizations that are engaged in the Aero Engine supply chain.

#### Membership Benefits & Levels

#### **Membership Benefits**

- Contribute to the work of the AESQ and support its working groups
- · Participate in Supplier Forums for dialog on industry optional approaches for implementation of quality requirements.
- · Gain visibility and recognition on AESO's website
- · Have a voice in promoting the development of voluntary consensus standards addressing aero engine supplier quality concerns benefiting your company
- · Greater networking opportunities with other companies and business opportunities
- · Participate in Subject Matter Interest Groups
- · Join the Communities of Practice Conversations on LinkedIn

#### Membership Levels

- AESQ Strategy Group Member AESQ Strategy Group Members are specified in the AESQ Charter due to their critical support resulting in the establishment of
  the AESQ Strategy Group.
- AESQ Member AESQ Membership is open to organizations that are engaged in the Aero Engine supply chain. Member organizations are required to participate
  in the work of the AESQ by providing resources to support the AESQ working groups. Representatives from AESQ Member organizations shall be senior leaders
  from the organization or subject matter experts in a relevant area.

#### **Annual Membership Dues**

Applications for AESQ Membership shall be review by the AESQ Steering Group in accordance with the AESQ Charter. Once approved, continued membership is dependent upon active participation in the working groups and payment of membership dues.

- AESQ Strategy Group Membership \$8,000 per organization per annum
- AESQ Membership \$1,000 per organization per annum

embership Application

ions, please contact info@aesq.sae-itc.org

Complete Membership Application at bottom of page

## "Get Involved" – Subject Matter Interest Groups



- Follow AESQ's Subject Matter Interest Groups
- Sign up for a Subject Matter Interest Group Webinar

AESQ Subject Matter Interest Groups	
Advanced Product Quality Planning (APQP) & Production Part Approval Process (PPAP) RM13145	Defect Prevention Tools to Support APQP & PPAP RM13004
Design Work & Production Repair & Rework RM13008 & RM13011	Measurement Systems Analysis (MSA) RM13003
Sub Tier Management RM13007	Process Control Methods RM13006
Human Factors RM13010	Problem Solving Methods RM13000
DPRV Training RM13001	Quality Audit Methods RM13005
First Article Inspection RM13102	Alternate Inspection Frequency RM13002



#### **AESQ Posters**



#### Download to Print

- 3 Sizes Available
  - 11" x 17"
  - 36" x 24"
  - 108" x 72"



# "Get Involved" – Additional Options



- Attend AESQ Events (Supplier Forums, Webinar) or Watch Video Online
- Take a AS13100 Training Course
- Download Reference Manuals
- Watch the "Zero Defects" Video



# **SUMMARY & CLOSE**



**BARBARA NEGROE**EXECUTIVE SOURCING QUALITY LEADER
GE AVIATION

# **AESQ Thanks You for Attending!**





# Stay in Touch: aesq.sae-itc.com

